MFU

MF Utilities India Pvt. Ltd.

103-105, Orion Business Park, Ghodbunder Road, Kapurbawdi,
Thane (West) - 400 607
CIN : U74120MH2013PTC242939

FOLIO BASED TRANSACTION FORM - REDEMPTION

APPLICATION NO:

TIME-STAMP NUMBER:

Please read all the instructions carefully before filling the form
Please fill in ENGLISH and in BLOCK LETTERS with black ink
Fields marked with (*) are mandatory and if not filled, the form is liable for rejection

GORN | Distributor / MFU user to write the system generated reference number here

A. * UNITHOLDER INFORMATION (Please mention your existing FOLIO):-

Existing Folio Number

AMC / MUTUAL FUND | specify AMC / Mutual Fund name

Name of the First/Sole Holder

B. * Scheme Details:-

Scheme 1

Scheme / Plan	specify scheme / plan
Option	specify scheme option	Dividend Option (please tick (✓))	Payout	Reinvestment
Redeem	Specific Units	specify units	(OR)	Specific Amount	₹	(OR)	All Units (please tick (✓))
Bank A/c for Payout	Please tick (✓) any one	Folio level default	(OR)	Other Bank Account registered under the Folio (as below)	(OR)	New Bank account (refer instructions B3)
Account Number		MICR Code		IFSC Code	
Bank Name	specify Bank name

Scheme 2

Scheme / Plan	specify scheme / plan
Option	specify scheme option	Dividend Option (please tick (✓))	Payout	Reinvestment
Redeem	Specific Units	specify units	(OR)	Specific Amount	₹	(OR)	All Units (please tick (✓))
Bank A/c for Payout	Please tick (✓) any one	Folio level default	(OR)	Other Bank Account registered under the Folio (as below)	(OR)	New Bank account (refer instructions B3)
Account Number		MICR Code		IFSC Code	
Bank Name	specify Bank name

Scheme 3

Scheme / Plan	specify scheme / plan
Option	specify scheme option	Dividend Option (please tick (✓))	Payout	Reinvestment
Redeem	Specific Units	specify units	(OR)	Specific Amount	₹	(OR)	All Units (please tick (✓))
Bank A/c for Payout	Please tick (✓) any one	Folio level default	(OR)	Other Bank Account registered under the Folio (as below)	(OR)	New Bank account (refer instructions B3)
Account Number		MICR Code		IFSC Code	
Bank Name	specify Bank name

Scheme 4

Scheme / Plan	specify scheme / plan
Option	specify scheme option	Dividend Option (please tick (✓))	Payout	Reinvestment
Redeem	Specific Units	specify units	(OR)	Specific Amount	₹	(OR)	All Units (please tick (✓))
Bank A/c for Payout	Please tick (✓) any one	Folio level default	(OR)	Other Bank Account registered under the Folio (as below)	(OR)	New Bank account (refer instructions B3)
Account Number		MICR Code		IFSC Code	
Bank Name	specify Bank name

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ACKNOWLEDGEMENT SLIP (to be filled in by the investor). For any queries please contact the nearest MFU "Point of Service" or call us at 1800-266-1415 (Toll Free) or +91 22 3952 6363.

MF UTILITIES INDIA PVT. LTD., Address: 103-105, 1st Floor, Orion Business Park, Ghodbunder Road, Kapurbawdi, Thane (West) - 400 607, India

Received from Mr. / Ms. M/s. _____ an application for redemption as per details mentioned below:-

POINT OF SERVICE STAMP & SIGNATURE

Scheme	Plan	Option	Units	Amount (Rs.)

<table>
<tr><td rowspan="6">Scheme 5</td><td>Scheme / Plan</td><td colspan="4">specify scheme / plan</td></tr>
<tr><td>Option</td><td colspan="2">specify scheme option</td><td colspan="2">Dividend Option (please tick (✔))   Payout ☐   Reinvestment ☐</td></tr>
<tr><td>Redeem</td><td>Specific Units</td><td>specify units (OR) Specific Amount ₹ ☐☐☐☐☐☐☐☐</td><td>(OR)</td><td>All Units (please tick (✔)) ☐</td></tr>
<tr><td>Bank A/c for Payout</td><td colspan="4">Please tick (✔) any one   Folio level default ☐ (OR) Other Bank Account registered under the Folio (as below) ☐ (OR) New Bank account (refer instructions B3) ☐</td></tr>
<tr><td>Account Number</td><td colspan="4">☐☐☐☐☐☐☐☐ MICR Code ☐☐☐☐☐☐ IFSC Code ☐☐☐☐☐☐☐☐☐☐☐</td></tr>
<tr><td>Bank Name</td><td colspan="4">specify Bank name</td></tr>
</table>

C. * Declaration and Signature(s):-

I/We have read and understood the terms and conditions related to submission of this redemption request and shall not hold MFU or the AMCs/Mutual Funds or its/their RTAs for not being able to process my request due to incomplete information provided by me.

Date : D D / M M M / Y Y Y Y   Place : _____

Sign Here	Sign Here	Sign Here
Sole/First Applicant / Guardian / POA Holder	Second Applicant	Third Applicant

TERMS AND CONDITIONS

A   General Instructions:

1. The Folio based redemption transaction form can be used for Redemptions, only by investors who DO NOT have a CAN registered with MFU and still wish to transact through MFU using their existing folio number allotted by the Mutual Fund.
2. If you have a CAN, you will have to fill-up the CAN Transaction Form (CTF) which will enable you to transact in schemes across Mutual Funds. Folio based transaction forms, if used to transact in different schemes across Mutual Funds & Folio combination are liable for rejection.
3. If you are holding your units in the Depository Account, the request for redemption has to be routed through (a) your broker, or (b) your depository participant. MFU will not be in a position to accept these transactions.
4. The Application Form should be completed in ENGLISH and In BLOCK LETTERS only.
5. Information marked (*) are mandatory. Under the respective sections, all relevant details should be provided, failing which the application is liable for rejection.
6. Investors/Unitholders should provide the Folio & Name of the Sole/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing Folio, the application form may be rejected, at the discretion of MFU.
7. If both Folio & Name of the Sole/Primary Holder are not mentioned, the transaction shall be liable for rejection.
8. MFU will only verify the folio provided in the form with the respective AMC/RTA for availability of the such a folio in their records. If the AMC/RTA confirms the availability of the Folio, the transaction will be captured and sent to the AMC/RTA for further processing. If the AMC/RTA does not confirm the validity of the Folio or the investor name as provided by the RTA does not match what is written in the form, the application is liable to be rejected by MFU / AMC / RTA.
9. Please tick in the appropriate box for relevant options wherever applicable. Do not overwrite. For any correction / changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes. Applications with any such changes not counter-signed by the applicants are liable for rejection.
10. Applications complete in all respects, may be submitted at any of the "Points of Services" of MFU. For a list of authorised points of service of MFU, please visit our web site **www.mfuindia.com.**
11. Applications incomplete in any respect are liable to be rejected. MFU / AMC / RTA shall have absolute discretion to reject any such Application Forms.
12. Applications received at any point of service of MFU would be time-stamped on receipt to evidence time of receipt of the application. An acknowledgement may be issued across the counter confiming the date and time of receipt of the Application.
13. Investors are advised to retain this acknowledgement slip till they receive a confirmation of processing of their transaction from the respective Mutual Fund/RTA.
14. MFU would, based on your request, transmit your Redemption transaction to the respective Mutual Funds for processing, indicating the date and time of receipt of your request.
15. Subject to applicable regulations and provisions in the Scheme Information Document of the scheme(s) the date and time-stamp appearing on this form would be considered for determining applicable NAV for the transactions.
16. Subject to your request for Redemption being in order, each Mutual Fund to which you have placed a request, would process your Redemption.
17. Your payout proceeds, subject to deduction of exit load, STT and TDS (if applicable) would be paid out to you into your default bank details registered under your Folio or any other registered bank details specified by you, within the payment timelines for the respective scheme(s).
18. The payout proceeds would be paid out to you directly by the respective AMCs.

B   Redemption Details:

1. You can use this form to make redemptions in upto 5 schemes of a single participating Mutual Fund within the same folio.
   For the list of Mutual Funds participating in MFU, please visit our web site **www.mfuindia.com**
2. Please specify the name of the Mutual Fund, the name of the scheme, plan, option, and amount/units you wish to redeem. The requests without any of these information, are liable for rejection. If you wish to redeem all units, please tick against the box provided for the purpose. Where both units and amount are mentioned for redemption, the units mentioned shall be considered for the transaction. Where the balance in the scheme / plan / option after taking into account the redemption is below the minimum size (either in amount or in units whichever is less), the transaction will be processed as per the Business rules of the respective AMC/Mutual Fund.
3. If you wish to receive your redemption proceeds in any of the registered account under the Folio (other than the default account under your Folio) or into a new bank account, please tick appropriately and furnish details of the same including Account Number, Bank Name, IFSC and MICR Code. Please note that redemption proceeds would not be paid into a bank account which is not registered under your Folio No. as on the date of payout. For requests for payout into a new bank account, the applicant is required to submit any one of following alongwith the redemption request validating that the new bank account mentioned pertains to the sole / first Applicant:
   (i) Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application OR Cancelled original cheque leaf of the bank account (where the account number and first applicant name is printed on the face of the cheque). Applicants should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;
   (ii) Photocopy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name and address of the first applicant and account number, duly attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number;
   (iii) A letter from the bank on its letterhead duly signed by the bank manager with his / her full signature, name, employee code, bank seal and contact number, certifying that the applicant maintains an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).
4. Please note the above documents shall be submitted in Original. If copies are furnished, the same must be submitted along with the Original documents at Points of Service (POS) of MFU where they will be verified with the original documents to the satisfaction of MFU. The original documents will be returned across the counter to the applicant after due verification. In case the original of any document is not produced for verification, the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number .
5. In case the proof provided is different from the information provided, the Bank account will not be registered under the Folio by the AMC/RTA. However, if minor discrepancies are found between the Bank Account Details furnished in the form vis-a-vis the proofs provided, AMC/RTA may at its discretion, update the bank details as appearing in the proofs provided.
6. Requests for addition of new bank account submitted without any of the above mentioned documents relating to bank account details will be treated as invalid and liable to be rejected.
7. To safeguard investors against fraudulent action on their investments, where a redemption request is received together with a request for change of address and/or change in bank details, the AMC may release the payment only after completing necessary additional checks.

C   Declaration and Signatures:

1. Signature(s) should be in English or in any Indian Language.
2. Thumb impressions should be from the left hand for males and the right hand for females and in all cases to be attested by a Magistrate or Notary Public under his/her official seal.
3. The application should be signed in accordance with the signature(s) available in the respective Folio
4. The redemption request needs to be signed in accordance with your mode of operation of your Folio. If the mode of operation of your Folio is "Joint", the request needs to be signed by all unit holders. Redemptions on behalf of minors should be signed by their natural parent/legal Guardian.
6. If the request for redemption is being signed by a Power of Attorney Holder, please ensure that the Power of Attorney is registered with MFU or the respective Mutual Fund before submission of the redemption request. Redemptions signed by Authorized Signatories of a Non-individual investor should be affixed with the Official stamp.

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